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OMB APPROVAL

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Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

## READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

| READ ALB MISTROCTIONS I RICK TO COMIT BETWEET CAME  |  |
|---|--|
| Part I Initial Listing  | Report   |
| Name of Self-Regulatory Organization Listing New Derivative Securi<br>Nasdaq PHLX LLC (traded pursuant to unlisted trading priv   |  |
| 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghout Open End Management Investment Company   | use, broker-dealer, corporation, etc.):              |
| 3. Class of New Derivative Securities Product: Exchange Traded Fund   |  |
| 4. Name of Underlying Instrument: Actively Managed Portfolio of Securities  |  |
| <ol> <li>If Underlying Instrument is an Index, State Whether it is Broad-Based<br/>Not Applicable</li> </ol>  | l or Narrow-Based:                                   |
| 6. Ticker Symbol(s) of New Derivative Securities Product: VFVA  |  |
| 7. Market or Markets Upon Which Securities Comprising Underlying In Listed on: NASDAQ Stock Market LLC, NYSE  | strument Trades:                                     |
| 8. Position Limits of New Derivative Securities Product (if applicable): Regular way trades settle on T + 2 (cash settled)  | •  |
| <ol> <li>Position Limits of New Derivative Securities Product (if applicable):<br/>N/A</li> </ol>   |  |
| Part II Executio  | n  |
| The undersigned represents that the governing body of the above approved, or has duly delegated its approval to the undersigned new derivative securities product according to its relevant tradin standards. | for, the listing and trading of the above-referenced |
| Name of Official Responsible for Form: William Slattery   |  |
| Title: LQ Mgr   | 10003448   |
| Telephone Number: +1 301 9788088  | 18002448   |
| Manual Signature of Pricit Responsible for Form:  | Act Securities Exchange Act of 1934                  |
| Date: March 11, 2018  | Section (96.4<br>Rule 96.4(c)                        |
| SEC 2449 (6-01)   | Public Availability: MAR 1 9 2018                    |